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PATENT

Serial No. 10/657,820

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ashok V. Joshi	Examiner:	Darwin P. Erez
Serial No.:	10/657,820	Group Art Unit:	3731
Filed:	September 8, 2003	Docket:	MIC 031103
Title:	DEVICE AND METHOD FOR WOUND THERAPY		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. § 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the Form PTO/SB/08A, a substitute for Form 1449, be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the Form PTO/SB/08A, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3586. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

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Serial No :10/657,820

Filing Date: September 8, 2003

Title: DEVICE AND METHOD FOR WOUND THERAPY

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Respectfully submitted,

ASHOK V. JOSHI

By his Representative,

801-978-2186

Date June 29, 2006

By 
David B. Fonda
Reg. No. 39,672

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: June 29, 2006

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David B. Fonda

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/657,820
Filing Date	September 8, 2003
First Named Inventor	Joshi, Ashok
Art Unit	3731
Examiner Name	Erezo, Darwin

Sheet

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of

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Attorney Docket No: MIC 031103

US PATENT DOCUMENTS

Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-2001/0029956	10/18/2001	Argenta et al.	05/23/2001
		US-2002/0115952	08/22/2002	Johnson et al.	02/14/2002
		US-2002/0120185	08/29/2002	Johnson	02/28/2002
		US-2002/0143286	10/03/2002	Tumey	03/04/2002
		US-2002/0183702	12/05/2002	Henley et al.	05/06/2002
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		US-2004/0225208	11/11/2004	Johnson	06/15/2004
		US-2005/0004534	01/06/2005	Lockwood et al.	05/25/2004
		US-2005/0010153	01/13/2005	Lockwood et al.	05/19/2004
		US-2005/0028828	02/10/2005	Heaton et al.	09/09/2004

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/657,820
Filing Date	September 8, 2003
First Named Inventor	Joshi, Ashok
Art Unit	3731
Examiner Name	Erezo, Darwin

Sheet

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Attorney Docket No: MIC 031103

US PATENT DOCUMENTS

Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-2005/0148913	07/07/2005	Weston	12/30/2004
		US-2005/0203452	09/15/2005	Weston et al.	03/08/2005
		US-2005/0222527	10/06/2005	Miller et al.	03/31/2005
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		US-2005/0261615	11/24/2005	Weston	05/19/2005
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		US-2006/0015087	01/19/2006	Risk et al.	09/20/2005
		US-2006/0041247	02/23/2006	Petrosenko et al.	12/20/2002
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		US-4969880	11/13/1990	Zamierowski	04/03/1989
		US-5785688	07/28/1998	Joshi et al.	05/07/1996
		US-5868933	02/09/1999	Patrick et al.	06/17/1997
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		US-6458109	10/01/2002	Henley et al.	08/05/1999
		US-6491684	12/10/2002	Joshi et al.	05/22/2001
		US-6553998	04/29/2003	Heaton et al.	04/16/2001
		US-6648862	11/18/2003	Watson	11/20/2001
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		US-6685681	02/03/2004	Lockwood et al.	11/29/2000
		US-6695823	02/24/2004	Lina et al.	04/07/2000
		US-6764462	07/20/2004	Risk et al.	05/31/2002

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i> Application Number 10/657,820 Filing Date September 8, 2003 First Named Inventor Joshi, Ashok Art Unit 3731 Examiner Name Erezo, Darwin	
Sheet	3	of	4	Attorney Docket No: MIC 031103	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-6767334	07/27/2004	Randolph	04/03/2001
		US-6814079	11/09/2004	Heaton et al.	02/13/2003
		US-6824533	11/30/2004	Risk et al.	05/31/2002
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		US-7004915	02/28/2006	Boynton et al.	08/24/2001

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
	EP-0708620	05/28/2003	Khoury	
	EP-1088569	08/13/2003	Hunt et al.	
	WO-00/59424	10/12/2000	Johnson	
	WO-01/34223	05/17/2001	Heaton	
	WO-2005/025666	03/24/2005	Korf	
	WO-90/11795	10/18/1990	Zamierowski	
	WO-00/64394	11/02/2000	Heaton et al.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		DAVYDOV, YU A. et al., "Concepts for Clinical Biological Management of the Wound Process in the Treatment of Purulent Wounds Using Vacuum Therapy", <u>The Kremlin Papers: Perspectives in Wound Care. Russian Journal: Vestnik Khirurgii. BlueSky Publishing. La Costa, California (2004), 15-17.</u>	
		DAVYDOV, YU A. et al., "The Bacteriological and Cytological Assessment of Vacuum Therapy of Purulent Wounds", <u>The Kremlin Papers: Perspectives in Wound Care. Russian Journal: Vestnik Khirurgii. BlueSky Publishing. La Costa, California (2004), 11-14.</u>	

EXAMINER

DATE CONSIDERED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/657,820</td> </tr> <tr> <td>Filing Date</td> <td>September 8, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Joshi, Ashok</td> </tr> <tr> <td>Art Unit</td> <td>3731</td> </tr> <tr> <td>Examiner Name</td> <td>Erezo, Darwin</td> </tr> </table>		Application Number	10/657,820	Filing Date	September 8, 2003	First Named Inventor	Joshi, Ashok	Art Unit	3731	Examiner Name	Erezo, Darwin
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Filing Date	September 8, 2003														
First Named Inventor	Joshi, Ashok														
Art Unit	3731														
Examiner Name	Erezo, Darwin														
Sheet	4	of	4	Attorney Docket No: MIC 031103											

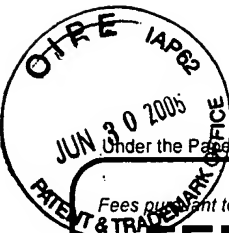
OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
		DAVYDOV, YU A. et al., "Vacuum Therapy in the Treatment of Purulent Lactation Mastitis", <u>The Kremlin Papers: Perspectives in Wound Care. Russian Journal: Vestnik Khirurgii. BlueSky Publishing. La Costa, California (2004), 5-7.</u>		
		KOSTIUCHENOK, B. M. et al., "The Vacuum Effect in the Surgical Treatment of Purulent Wounds", <u>The Kremlin Papers: Perspectives in Wound Care. Russian Journal: Vestnik Khirurgii. BlueSky Publishing. La Costa, California (2004), 3-4.</u>		
		USUPOV, Y. N. et al., "Active Wound Drainage", <u>The Kremlin Papers: Perspectives in Wound Care. Russian Journal: Vestnik Khirurgii. BlueSky Publishing. La Costa, California (2004), 8-10.</u>		

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	10/657,820
Filing Date	September 8, 2003
First Named Inventor	Ashok V. Joshi
Examiner Name	Darwin P. Erez
Art Unit	3731
Attorney Docket No.	MIC 031103

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 50-3586 Deposit Account Name: Ceramatec, Inc./David B. Fonda

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
0 - 20 or HP = 0 x 25.00 = 0.00		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
0 - 3 or HP = 0 x 100.00 = 0.00		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)	Fees Paid (\$)
Other (e.g., late filing surcharge): 37 C.F.R. §1.17(p)	180.00

SUBMITTED BY

Signature		Registration No. 39,672 (Attorney/Agent)	Telephone 801-978-2186
Name (Print/Type)	David B. Fonda	Date	June 29, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.